



NOTICE OF DISCIPLINE – BRADLEY ANSTEY

Summary of the decision of the Committee of Inquiry

On September 19, 2018, in the city of Yellowknife, Northwest Territories and via teleconference, the Certified General Accountants' Association Committee of Inquiry ("Committee") held a hearing into complaints regarding the conduct of Bradley Anstey. In the Report of the Committee of Inquiry dated October 29, 2018, after the Committee considered all the evidence presented, the Committee concluded that Bradley Anstey was guilty of misconduct in having:

- i. Between March 2016 and June 2017 failed to respond promptly to takeover letters received from other professional accountants with respect to professional services relating to 1*** NWT Ltd;
- ii. Between March 2016 and June 2017 failed to respond promptly to takeover letters received from other professional accountants with respect to professional services relating to A** Holdings Ltd;
- iii. Between January 2013 and August 2017, engaged in the practice of public accounting without registering with the Certified General Accountants' Association as a fully licensed public accountant as required by the *Certified General Accountants' Act*, section 44 of the Bylaws of the Association and the Association's Public Practice Review policy, thereby exceeding the limits of his public practice license; and
- iv. Between January 2013 and January 2017, did default in the performance of his professional duties to 1*** NWT Ltd. and A** Holdings Ltd. by failing to prepare and file in a timely manner government filings, including tax and Good Service Tax returns, which he had undertaken to do.

Sanctions made as a result of the findings

After careful consideration the Committee determined the sanctions to be:

1. Mr. Anstey be suspended for a period of 8 weeks;
2. Mr. Anstey shall pay the Association's costs in the amount of \$18,000.00;
3. A failure to comply with payment of the costs will result in Mr. Anstey being suspended until payments have been fully paid; and
4. A failure to comply with the sanctions may result in further disciplinary proceedings for Mr. Anstey, that may include further sanctions, up to and including removal from the registrar.

The Committee acknowledged that the parties have agreed that "a summary of the decision of the Committee of Inquiry shall be published on the Association's website".

January 2019